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Approved for use through 09/30/00. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



## UTILITY PATENT APPLICATION **TRANSMITTAL** (Only for new nonprovisional applications

under 37 CFR 1.53(b)

Attorney Docket No. 4695-00008 **Total Pages** 

C. Raymond Cheng Express Mail Label No. EL812734822US ADDRESS TO: **Commissioner for Patents Box Patent Application** Washington, D.C. 20231 6. ☐ Microfiche Computer Program (Appendix)

First Named Inventor or Application Identifier

**APPLICATION ELEMENTS** See MPEP chapter 600 concerning utility patent application contents

1. I Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

15 2. 🗵 Specification [Total Pages (preferred arrangement set forth below)

Descriptive title of the Invention

- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claim(s)
- Abstract of the Disclosure

3. 区 Drawing(s) (35 USC 113)	[Total Sheets	4
4. Oath or Declaration	[Total Pages	3

- ☑ Newly executed (original or copy)
- ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)

## [Note Box 5 below]

- i. D DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5. ☐ Incorporation By Reference (useable if Box 4b is

- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identify of above copies

## **ACCOMPANYING APPLICATION PARTS**

- 8.. ☐ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
- 10. ☐ English Translation Document (if applicable)
- 11.□ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations
- 12.□ Preliminary Amendment
- 13.⊠ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14.□ Small Entity □ Statement filed in prior Statement(s) application, Status still proper and desired
- 15.□ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16.⊠ Other: Certificate of Express Mail;

applica declara as bein	<ul> <li>d). The entire disclosure of the tion, from which a copy of the tion is supplied under Box 4b g part of the disclosure of the tion and is hereby incorporate</li> </ul>	e oath or , is considered accompanying			
17. If a CON⊓ ☐ Contin			(CIP) of prior application		
☐ Customer Number or Bar Code Label				or 区 Correspondence address below.	
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NAME	Michael E. Taken (Reg. N ANDRUS, SCEALES, STA 100 East Wisconsin Avenu	o. 28,120) ARKE & SAWALL, LI		•	53202-4178

## **CERTIFICATE OF EXPRESS MAIL**

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the <a href="27th">27th</a> day of February, 2002, 2001. The Express Label is <a href="EL812734822US">EL812734822US</a>.

Jollan Kuczynski

2-27-02

JoʻAnn Kuczynski 🖊 📉

Approved for use through 10/31/2002
Patent and Trademark Office: U.S. Department of Commerce PTO/SB/17 COMPLETE IF KNOWN (8/96)Application Number iling Date **FEE TRANSMITTAL** irst Named Inventor C. Raymond Cheng Group Art Unit Examiner Name Total Amount of Payment (\$) 992.00 Attorney Docket Number 4695-00008 METHOD OF PAYMENT (check one) FEE CALCULATION (continued) 1. The Commissioner is hereby authorized to 3. ADDITIONAL FEES charge indicated fees and credit any over Large Entity Small Entity payments to: Fee Fee Fee Fee Deposit Code (\$) Code (\$) Fee Description Fee Paid Account Number 01.2000 105 130 205 65 Surcharge - late filing fee or oath Deposit 127 Account Name 25 Surcharge - late provisional filing fee 50 227 ANDRUS, SCEALES, STARKE & SAWALL, LLP or cover sheet Charge any additional fee required under 139 130 139 130 Non-English specification Applicant claims small 2.520 For filing a request for examination 147 2.520 147 entity status. 37 CFR 1.16 and 1.17 112 See 37 CFR 1.27 920 112 920 Requesting publication of SIR prior to Examiner action 113 1,840 113 1,840 Requesting publication of SIR after Lj Examiner action 115 55 Extension for response within first 110 215 Payment Enclosed: month Money 116 400 216 200 Extension for response within second X Check Order Other month 117 460 Extension for response within third 920 217 FEE CALCULATION (fees effective 10/01/96) month 118 1. FILING FEE 1,440 218 720 Extension for response within fourth Large Entity Small Entity Fee month 980 Extension for response within fifth Fee 128 1,960 228 Fee Fee Fee Fee Description Paid month Code (\$) Code (\$) 119 320 160 Notice of Appeal 218 120 320 160 Filing a brief in support of an appeal 220 101 \$740.00 740 370 201 Utility filing fee 121 280 140 Request for oral hearing 221 103 330 Design filing fee 206 165 138 1,510 138 1,510 Petition to institute a public use 107 510 207 255 Plant filing fee proceeding 108 Reissue filing fee 740 208 370 140 55 Petition to revive unavoidably 114 160 110 240 Provisional filing 214 80 abandoned application 141 1,280 640 Petition to revive unintentionally 241 abandoned application SUBTOTAL (1) (\$) 740.00 1,280 142 640 Utility issue fee (or reissue) 242 2. CLAIMS Extra Fee from below Fee Paid 143 460 230 Design issue fee 243 144 310 Plant issue fee 620 244 Total claims 122 130 130 Petitions to the Commissioner 122 Independent 123 50 123 50 Petitions related to provisional Claims applications Multiple Dependent 126 180 Submission of Information Disclos. Stmt. 180 126 581 40 581 40 Recording each patent assignment per Large Entity Small Entity property (times number of properties) Fee 146 740 370 Filing a submission after final rejection Fee Fee Fee Fee 246 Description Code (\$) Code (\$) (37 CFR 1.29(a)) 149 740 249 370 For each additional invention to be 103 18 203 Claims in excess of 20 examined (37 CFR 1.129(b)) 102 84 202 42 Independent claims in 179 370 Request for continued Examination (RCE) excess of 3 740 279 104 280 204 140 Multiple dependent claim Request for expedited examination of a 109 84 209 Reissue independent 42 169 900 169 claims over original design application patent 110 18 210 Reissue claims in excess Other fee (specify) of 20 and over original patent SUBTOTAL (2) (\$) 252.00 SUBTOTAL (3) Reduced by Basic Filing Fee Paid SUBMITTED BY Michael E. Taken Name (Print/Type) Registration No. 28,120 Telephone (414) 271-7590 Signature muchael & Saken

Date

	First Named Inventor		C. Raymond Cheng	
REQUEST AND CERTIFICATION UNDER	Title	Exhaust Aftertreatment Device with Flow Diffuser		
35 U.S.C. 122(b)(2)(B)(i)	Atty. Docket Number		4695-00008	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 27, 2002

Date

Signature

Michael E. Taken

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).